

DATE: May 28, 2019

## CIRCULARS

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### RBI

- 'Voluntary Retention Route' (VRR) for Foreign Portfolio Investors (FPIs) investment in debt
- Auction for Sale (re-issue) of Government Stock (GS)
- Auction of Government of India Dated Securities

### NSE

- Participation of Mutual Funds and Portfolio Managers in Commodity Derivatives Market in India
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Revision in format for new facility on NCMS for trade information to Clearing Members
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- SEBI Order in the matter of Highbrow Market Research Private Limited
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Revision in Member-wise Bank-wise limits prescribed for acceptance of Bank Guarantee towards collaterals
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- SEBI Order in the matter of Hahnemann Food Products Limited
- SAT Order in respect of OPG Securities Pvt. Ltd. & Ors
- Levy of fair usage charges for multi-leg IOC orders

## BSE

- Extension of NFO of INVESCO Mutual Fund on BSE StAR MF Platform
- Non-Competitive Bidding for Auction of GoI dated Securities (G-secs) on May 31, 2019
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Participation of Portfolio Managers in Commodity Derivatives Market in India
- Participation of Mutual Funds in Commodity Derivatives Market in India
- Additional Surveillance Margin on Trading Member for Order Spoofing

## MCX

- Reminder - Submission of Half Yearly Networth Certificate as on March 31, 2019
- Delivery Settlement Schedule for June 2019
- Discontinuation of Serial interface Point to Point Leased lines- Extension of Time
- Reminder - Submission of data pertaining to Risk Based Supervision and Internal Audit Report
- Enabling of New UCC categories - Mutual Fund and PMS
- Transaction Fees on Options Contracts
- Participation of Portfolio Managers in Commodity Derivatives Market in India
- De-accreditation of Warehouse (Mentha Oil)

## SEBI

- Enhanced disclosure in case of listed debt securities
- Adjudication order in respect of promoters in the matter of Sindhu Trade Links Limited
- Order in the matter of Sharex Dynamic (India) Pvt. Ltd.
- Adjudication Order in respect of Amtek Auto Limited in the matter of Castex Technologies Ltd.
- Order in the matter of Indiabulls Ventures Limited
- Order in respect of International Constructions Limited
- Order in the matter of Imtsons Investments Limited
- Adjudication Order in respect of respect of Straight Line Food products Private Limited in the matter of dealings in illiquid Stock Options at BSE
- Order in the matter of Highbrow Market Research Private Limited (ways 2 Capital)
- Participation of Portfolio Managers in Commodity Derivatives Market in India
- Framework for the process of accreditation of investors for the purpose of Innovators Growth Platform
- Interim Order in the matter of Unique Multi Foods Industries Limited
- Interim Order cum SCN in the matter of Hahnemann Food Products Limited
- Adjudication Order in respect of respect of Sri Narayan Mercantiles Private Limited in the matter of dealings in illiquid Stock Options at BSE
- Adjudication Order in respect of respect of Tarang Stock Broking Services Private Limited in the matter of dealings in illiquid Stock Options at BSE
- Adjudication order in respect of various entities in the matter of Finalysis Credit and Guarantee Company Ltd.

## NSDL

- No New Circulars

## NCDEX

- CTCL and IBT trading software from M/s.Thomson Reuters International Services Pvt. Ltd.
- Availability of web based Product of IBT trading software from M/s. 63 Moons Technologies Ltd

## ICEX

- Determination of Final Settlement Price (FSP) in case of unavailability of Spot Price on Expiry Day
- Modification in Contract Specifications of Isabgul Seed Future Contracts
- Participation of Portfolio Managers in Commodity Derivatives Market in India

## INX

- No New Circular
- Commencement of business by Trading Member – KARVY BROKING (IFSC) LIMITED (5055)

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